

EVIDENCE OF TRANSFER OF CLAIM

TO: THE DEBTOR AND THE BANKRUPTCY COURT

For value received, the adequacy and sufficiency of which are hereby acknowledged, Credit Suisse (Schweiz) AG ("Transferor") unconditionally and irrevocably transferred to UBS AG, Zurich ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim Claim Nr. 55829 relating to the securities with International Securities Identification Numbers listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS EXECUTED ON May 18, 2021.

Credit Suisse (Schweiz) AG

Name: Reto Baumgartner

Title: DIR

Name Hans Peter Kälin

Title. AVP

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U.S. BANKRUPTCY COURT, SDNY



SCHEDULE I

Lehman Programs Securities Related to Transferred Portion of Claim:

ISIN	Court Claim #	Date Claim Filed	Issuer	Number of Units or Currency and Nominal Amount
XS0337685670	55829	October 29, 2009	LEHMAN BROTHERS SECURITIES NV	USD 15'000



08-13555-mg Doc 61154 Filed 06/21/21 Entered 06/21/21 15:03:22 Main Document Pg 3 of 3

UBS

UBS AG

Europastrasse 2 8152 Opfikon Switzerland

OQ9C / O5GC-248 INFO MGMT / HEDGE FUNDS / DEFAULT Stephan Gfeller

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BY REGISTERED MAIL

U.S. Bankruptcy Court for the Southern District of N.Y. One Bowling Green New York, N.Y. 10004 USA

Message

June 14, 2021

subject Lehman Brothers Holdings Inc. Chapter 11 Case No. 08-13555

On behalf of	Attention: Clerk of the Court				
you are receiving	Evidence of -1- partial transfer of claims: from Credit Suisse (Schweiz) AG to UBS AG				
			•		
for your informa	tion	returned with thanks	☐ please return		
for your records		please comment	please confirm receipt		
as agreed		please sign	please process		
please complete					
Remarks TOCE240 USD 1	5'000 XS033768	35670 - LBS NV (Claim-No 55829)			
		AG / Claim Number: 55829 se 45, CH-8001 Zurich (Switzerlar	nd)		

Yours sincerely,

UBS AG

Stephan Gfeller

Associate Director

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U.S. BANKRUPTCY COURT, SDNY

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Page 1/1